

**Math 4441 Practice Midterm 2**  
November 20, 2022

Name: \_\_\_\_\_

gtID: \_\_\_\_\_

**Instructions.** Read each question carefully and show all your work. Answers without justification will receive little to no credit. Writing your answers in a legible, well-organized manner will maximize your opportunities for partial credit.

This is a closed-note, closed-book exam, and you are expected to abide by the Georgia Tech Honor Challenge. Good luck!

**Clearly label any extra papers you want graded.**

By signing below, I certify that all work submitted on this exam is my own, and that I have neither given nor received any unauthorized help on this exam.

\_\_\_\_\_

Question	Points	Score
1	10	
2	10	
3	10	
4	10	
Total:	40	

## Formulas

- $df_p = \begin{pmatrix} \frac{\partial f^1}{\partial u^1}(p) & \cdots & \frac{\partial f^1}{\partial u^m}(p) \\ \vdots & \ddots & \vdots \\ \frac{\partial f^n}{\partial u^1}(p) & \cdots & \frac{\partial f^n}{\partial u^m}(p) \end{pmatrix}$
- $d(g \circ f)_p = dg_{f(p)} \cdot df_p$
- $\frac{\partial (g \circ f)^i}{\partial u^j} \Big|_p = \sum_{k=1}^m \frac{\partial g^i}{\partial v^k} \Big|_{f(p)} \frac{\partial f^k}{\partial u^j} \Big|_p$
- $\vec{x}_i := \frac{\partial \vec{x}}{\partial u^i}$
- $\vec{x} = \vec{x} \circ F$  implies  $\vec{x}_j = \sum_{i=1}^2 \frac{\partial F^i}{\partial \bar{u}^j} \vec{x}_i$
- $\vec{n} := \frac{\vec{x}_1 \times \vec{x}_2}{\|\vec{x}_1 \times \vec{x}_2\|}$
- $I_p(\vec{X}, \vec{Y}) := \langle \vec{X}, \vec{Y} \rangle_{\mathbb{R}^3}$
- $g_{ij} = \langle \vec{x}_i, \vec{x}_j \rangle$
- $I_p(\vec{X}, \vec{Y}) = \begin{pmatrix} X^1 \\ X^2 \end{pmatrix}^T \begin{pmatrix} g_{11} & g_{12} \\ g_{21} & g_{22} \end{pmatrix} \begin{pmatrix} Y^1 \\ Y^2 \end{pmatrix}$
- $\|\vec{X}\| := \sqrt{I_p(\vec{X}, \vec{X})}$  and  $\angle(\vec{X}, \vec{Y}) := \arccos\left(\frac{I_p(\vec{X}, \vec{Y})}{\|\vec{X}\| \cdot \|\vec{Y}\|}\right)$
- $(g^{k\ell}) := (g_{ij})^{-1}$
- $\vec{x} = \vec{x} \circ F$  implies  $\tilde{g}_{\alpha\beta} = \sum_{i,j=1}^2 \frac{\partial F^i}{\partial \bar{u}^\alpha} \frac{\partial F^j}{\partial \bar{u}^\beta} g_{ij}$
- $\vec{x}_{ij} := \frac{\partial^2 \vec{x}}{\partial u^i \partial u^j}$
- $\kappa_n := \langle \frac{d}{ds} \vec{T}, \vec{n} \rangle$  and  $\kappa_g := \langle \frac{d}{ds} \vec{T}, \vec{S} \rangle$
- $L_{ij} := \langle \vec{x}_{ij}, \vec{n} \rangle$
- $\Gamma_{ij}^k := \sum_{\ell=1}^2 \langle \vec{x}_{ij}, \vec{x}_\ell \rangle g^{k\ell}$
- For  $\vec{\alpha}$  unit-speed,  $\vec{\alpha}'' = \kappa_n \vec{n} + \kappa_g \vec{S}$
- $\kappa_n = \sum_{i,j=1}^2 (\alpha_U^i)' (\alpha_U^j)' L_{ij}$  and  $\kappa_g \vec{S} = \sum_{k=1}^2 \left( (\alpha_U^k)'' + \sum_{i,j=1}^2 (\alpha_U^i)' (\alpha_U^j)' \Gamma_{ij}^k \right) \vec{x}_k$
- $D_{\vec{v}} f := (f \circ \vec{\alpha})'(0)$ , where  $\vec{\alpha}(0) = p$  and  $\vec{\alpha}'(0) = \vec{v}$
- $\vec{n} = \nu \circ \vec{x}$
- $\mathcal{L}(\vec{v}) := -D_{\vec{v}} \nu$
- $\vec{n}_i := \frac{\partial \vec{n}}{\partial u^i}$
- $\vec{n}_j = -\sum_{i=1}^2 L_j^i \vec{x}_i$
- $(L_{ij}) = (g_{ij})(L_j^i)$ , so  $(L_j^i) = (g^{k\ell})(L_{ij})$

1. Let  $f: U \rightarrow \mathbb{R}$  be a  $C^\infty$  function on some open set  $U \subset \mathbb{R}^2$ . The **graph of  $f$**  is the image of the function  $\vec{x}: U \rightarrow \mathbb{R}^3$  defined by

$$\vec{x}(u^1, u^2) := (u^1, u^2, f(u^1, u^2)).$$

- (a) (6 points) Verify (using the definition) that  $\vec{x}$  is a simple surface.

*Hint: You'll need to compute  $\vec{x}_1 \times \vec{x}_2$ .*

**Solution:** We need to prove that  $\vec{x}$  is injective and  $C^k$  for some  $k$ , and also that  $\vec{x}$  is regular, in the sense that  $\vec{x}_1$  and  $\vec{x}_2$  are linearly independent. In fact,  $\vec{x}$  is  $C^\infty$ , since  $f$  is  $C^\infty$ . Injectivity is also pretty straightforward: if  $\vec{x}(a, b) = \vec{x}(c, d)$ , then

$$(a, b, f(a, b)) = (c, d, f(c, d)) \Rightarrow a = c \text{ and } b = d.$$

The only part that requires some care is regularity. As indicated by the hint, we'll verify that  $\vec{x}_1 \times \vec{x}_2$  is nonzero. We have

$$\vec{x}_1 = (1, 0, f_1) \quad \text{and} \quad \vec{x}_2 = (0, 1, f_2),$$

where  $f_i := \frac{\partial f}{\partial u^i}$ . Then

$$\vec{x}_1 \times \vec{x}_2 = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ 1 & 0 & f_1 \\ 0 & 1 & f_2 \end{vmatrix} = (-f_1, -f_2, 1).$$

Since the third component of this cross product never vanishes, the vector itself cannot vanish. So  $\vec{x}$  is a simple surface.

- (b) (4 points) Give formulas for the matrices  $(g_{ij})$  and  $(g^{k\ell})$  in terms of the function  $f$ . You should use the notation  $f_i := \frac{\partial f}{\partial u^i}$  for partial derivatives of  $f$ , and should simplify your answers.

**Solution:** We've already computed  $\vec{x}_1$  and  $\vec{x}_2$ , so we can get  $(g_{ij})$  by taking inner products. We have

$$\langle \vec{x}_1, \vec{x}_1 \rangle = 1 + f_1^2, \quad \langle \vec{x}_1, \vec{x}_2 \rangle = f_1 f_2, \quad \text{and} \quad \langle \vec{x}_2, \vec{x}_2 \rangle = 1 + f_2^2,$$

so

$$(g_{ij}) = \begin{pmatrix} 1 + f_1^2 & f_1 f_2 \\ f_1 f_2 & 1 + f_2^2 \end{pmatrix}.$$

We get  $(g^{k\ell})$  by inverting  $(g_{ij})$ , and this can be done with the usual  $2 \times 2$  formula:

$$(g^{k\ell}) = (g_{ij})^{-1} = \frac{1}{\det(g_{ij})} \begin{pmatrix} g_{22} & -g_{12} \\ -g_{12} & g_{11} \end{pmatrix} = \frac{1}{1 + f_1^2 + f_2^2} \begin{pmatrix} 1 + f_2^2 & -f_1 f_2 \\ -f_1 f_2 & 1 + f_1^2 \end{pmatrix}.$$

2. Let  $\vec{\alpha}: (a, b) \rightarrow \mathbb{R}^2$  be a unit-speed planar curve. For each  $t \in \mathbb{R}$ , define a planar curve  $\vec{\alpha}_t: (a, b) \rightarrow \mathbb{R}^2$  by

$$\vec{\alpha}_t(s) := \vec{\alpha}(s) + t k(s) \vec{n}(s),$$

where  $\vec{n}(s) = J\vec{T}(s)$  is the planar normal vector\* for  $\vec{\alpha}$  and  $k(s)$  is the planar curvature. Note that  $\vec{\alpha}_t$  is not necessarily unit-speed. Finally, let  $L: \mathbb{R} \rightarrow [0, \infty)$  be defined by

$$L(t) := \ell(\vec{\alpha}_t) = \int_a^b \|\vec{\alpha}'_t(s)\| ds.$$

*Note: This problem is way too long to actually show up on a midterm. But you should expect some much smaller version of this type of thing, where you need to know how a technical computation goes.*

- (a) (3 points) Prove that

$$L'(t) = \int_a^b \frac{\left\langle \frac{\partial^2}{\partial s \partial t} \vec{\alpha}_t, \frac{\partial}{\partial s} \vec{\alpha}_t \right\rangle}{\sqrt{\left\langle \frac{\partial}{\partial s} \vec{\alpha}_t, \frac{\partial}{\partial s} \vec{\alpha}_t \right\rangle}} ds.$$

**Solution:** Because the integral that occurs in the definition of  $L(t)$  is taken with respect to  $s$ , the  $t$ -derivative can slide right past:

$$\begin{aligned} L'(t) &= \frac{\partial}{\partial t} \left( \int_a^b \sqrt{\langle \vec{\alpha}'_t(s), \vec{\alpha}'_t(s) \rangle} ds \right) = \int_a^b \frac{\partial}{\partial t} \left( \sqrt{\langle \vec{\alpha}'_t(s), \vec{\alpha}'_t(s) \rangle} \right) ds \\ &= \int_a^b \frac{\frac{\partial}{\partial t} (\langle \vec{\alpha}'_t(s), \vec{\alpha}'_t(s) \rangle)}{2\sqrt{\langle \vec{\alpha}'_t(s), \vec{\alpha}'_t(s) \rangle}} ds = \int_a^b \frac{\frac{\partial}{\partial t} \left( \left\langle \frac{\partial}{\partial s} \vec{\alpha}_t, \frac{\partial}{\partial s} \vec{\alpha}_t \right\rangle \right)}{2\sqrt{\left\langle \frac{\partial}{\partial s} \vec{\alpha}_t, \frac{\partial}{\partial s} \vec{\alpha}_t \right\rangle}} ds. \end{aligned}$$

The penultimate equality above uses the chain rule, while the last one declutters notation a bit. We obtain the desired quantity by applying the product rule:

$$L'(t) = \int_a^b \frac{\frac{\partial}{\partial t} \left( \left\langle \frac{\partial}{\partial s} \vec{\alpha}_t, \frac{\partial}{\partial s} \vec{\alpha}_t(s) \right\rangle \right)}{2\sqrt{\left\langle \frac{\partial}{\partial s} \vec{\alpha}_t, \frac{\partial}{\partial s} \vec{\alpha}_t \right\rangle}} ds = \int_a^b \frac{2\left\langle \frac{\partial^2}{\partial t \partial s} \vec{\alpha}_t, \frac{\partial}{\partial s} \vec{\alpha}_t \right\rangle}{2\sqrt{\left\langle \frac{\partial}{\partial s} \vec{\alpha}_t, \frac{\partial}{\partial s} \vec{\alpha}_t \right\rangle}} ds$$

A quick cancellation (and equality of mixed partial derivatives) yields the desired integral.

- (b) (2 points) Given the formula in part (a), explain why

$$L'(0) = \int_a^b \left\langle \frac{\partial^2}{\partial s \partial t} \vec{\alpha}_t, \frac{\partial}{\partial s} \vec{\alpha}_t \right\rangle \Big|_{t=0} ds.$$

**Solution:** We can compute  $L'(0)$  by evaluating the formula in part (a) at  $t = 0$ . In the denominator this produces

$$\sqrt{\left\langle \frac{\partial}{\partial s} \vec{\alpha}_0, \frac{\partial}{\partial s} \vec{\alpha}_0 \right\rangle} = \sqrt{\left\langle \frac{\partial}{\partial s} \vec{\alpha}, \frac{\partial}{\partial s} \vec{\alpha} \right\rangle} = 1,$$

since  $\vec{\alpha}$  is a unit-speed curve. Thus the expression simplifies to just the numerator, evaluated at  $t = 0$ . This gives the equation we're asked to justify.

\*In class we just wrote  $J\vec{T}$  over and over, but here we're calling it  $\vec{n}$ .

(c) (3 points) Use integration by parts to show that in fact

$$L'(0) = - \int_a^b \left\langle \frac{\partial}{\partial t} \vec{\alpha}_t, \frac{\partial^2}{\partial s^2} \vec{\alpha}_t \right\rangle \Big|_{t=0} ds.$$

**Solution:** Integration by parts undoes the product rule, so let's find a product whose derivative will be related to the quantity we're considering. We have

$$\frac{\partial}{\partial s} \left\langle \frac{\partial}{\partial t} \vec{\alpha}_t, \frac{\partial}{\partial s} \vec{\alpha}_t \right\rangle = \left\langle \frac{\partial^2}{\partial s \partial t} \vec{\alpha}_t, \frac{\partial}{\partial s} \vec{\alpha}_t \right\rangle + \left\langle \frac{\partial}{\partial t} \vec{\alpha}_t, \frac{\partial^2}{\partial s^2} \vec{\alpha}_t \right\rangle,$$

and thus

$$\left\langle \frac{\partial^2}{\partial s \partial t} \vec{\alpha}_t, \frac{\partial}{\partial s} \vec{\alpha}_t \right\rangle = \frac{\partial}{\partial s} \left\langle \frac{\partial}{\partial t} \vec{\alpha}_t, \frac{\partial}{\partial s} \vec{\alpha}_t \right\rangle - \left\langle \frac{\partial}{\partial t} \vec{\alpha}_t, \frac{\partial^2}{\partial s^2} \vec{\alpha}_t \right\rangle.$$

Now, since  $\vec{\alpha}_t = \vec{\alpha} + t k \vec{n}$ ,  $\frac{\partial}{\partial t} \vec{\alpha}_t = k \vec{n}$ . This is perpendicular to  $\vec{\alpha}'_t = \frac{\partial}{\partial s} \vec{\alpha}_t$ , and thus the first term on the right hand side above vanishes. So we have

$$L'(0) = \int_a^b \left\langle \frac{\partial^2}{\partial s \partial t} \vec{\alpha}_t, \frac{\partial}{\partial s} \vec{\alpha}_t \right\rangle \Big|_{t=0} ds = - \int_a^b \left\langle \frac{\partial}{\partial t} \vec{\alpha}_t, \frac{\partial^2}{\partial s^2} \vec{\alpha}_t \right\rangle \Big|_{t=0} ds,$$

as desired.

(d) (2 points) By plugging into the formula just derived, show that

$$L'(0) = \int_a^b -k(s)^2 ds.$$

**Solution:** We previously showed that  $\frac{\partial}{\partial t} \vec{\alpha}_t = k \vec{n}$ , so we have

$$L'(0) = - \int_a^b \left\langle \frac{\partial}{\partial t} \vec{\alpha}_t, \frac{\partial^2}{\partial s^2} \vec{\alpha}_t \right\rangle \Big|_{t=0} ds = - \int_a^b \langle k \vec{n}, \vec{\alpha}''_t \rangle \Big|_{t=0} ds = - \int_a^b k \langle \vec{n}, \vec{\alpha}'' \rangle ds,$$

where the last equality uses the fact that  $\vec{\alpha}_0 = \vec{\alpha}$ . Finally, we recognize  $\langle \vec{n}, \vec{\alpha}'' \rangle$  as giving the planar curvature  $k$ , and thus

$$L'(0) = - \int_a^b (k(s))^2 ds,$$

as desired.

This part wasn't asked of you, but remember why we care: because  $\vec{\alpha}$  is length-minimizing, we know that  $L'(0) = 0$ ; this computation implies that  $k(s) = 0$ , for all  $s \in (a, b)$ .

3. Consider the simple surface  $\vec{x}: (-\pi, \pi) \times \mathbb{R} \rightarrow \mathbb{R}^3$  defined by

$$\vec{x}(u^1, u^2) := (\cos u^1, \sin u^1, u^1 + u^2),$$

whose image is the cylinder  $x^2 + y^2 = 1$ .

- (a) (4 points) Compute the coefficients  $L_{ij}$  of the second fundamental form. Recall that  $L_{ij} := \langle \vec{x}_{ij}, \vec{n} \rangle$ .

**Solution:** We start by computing the first derivatives:

$$\vec{x}_1 = (-\sin u^1, \cos u^1, 1) \quad \text{and} \quad \vec{x}_2 = (0, 0, 1).$$

From these we compute  $\vec{n}$ , starting with the cross product:

$$\vec{x}_1 \times \vec{x}_2 = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ -\sin u^1 & \cos u^1 & 1 \\ 0 & 0 & 1 \end{vmatrix} = (\cos u^1, \sin u^1, 0).$$

Since this vector has unit length, we find that  $\vec{n} = (\vec{x}_1 \times \vec{x}_2) / |\vec{x}_1 \times \vec{x}_2| = \vec{x}_1 \times \vec{x}_2$ . Next, the second derivatives:

$$\vec{x}_{11} = (-\cos u^1, -\sin u^1, 0), \quad \vec{x}_{12} = (0, 0, 0), \quad \text{and} \quad \vec{x}_{22} = (0, 0, 0).$$

Thus we immediately have  $L_{12} = 0$  and  $L_{22} = 0$ , and quickly find that

$$L_{11} = \langle \vec{x}_{11}, \vec{n} \rangle = \langle (-\cos u^1, -\sin u^1, 0), (\cos u^1, \sin u^1, 0) \rangle = -1.$$

In matrix form:

$$(L_{ij}) = \begin{pmatrix} -1 & 0 \\ 0 & 0 \end{pmatrix}.$$

(You were not required to put this in matrix form.)

- (b) (6 points) Use the formula  $(L_j^i) = (g_{ij})^{-1}(L_{ij})$  to compute the matrix representation  $(L_j^i)$  of the Weingarten map.

*Note: You will need to compute  $(g_{ij})$  along the way.*

**Solution:** From the above computation of  $\vec{x}_1$  and  $\vec{x}_2$  we can find that

$$(g_{ij}) = \begin{pmatrix} \langle \vec{x}_1, \vec{x}_1 \rangle & \langle \vec{x}_1, \vec{x}_2 \rangle \\ \langle \vec{x}_1, \vec{x}_2 \rangle & \langle \vec{x}_2, \vec{x}_2 \rangle \end{pmatrix} = \begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix}.$$

Then

$$(L_j^i) = \begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix}^{-1} \begin{pmatrix} -1 & 0 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 1 & -1 \\ -1 & 2 \end{pmatrix} \begin{pmatrix} -1 & 0 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} -1 & 0 \\ 1 & 0 \end{pmatrix}.$$

- (c) (*Bonus, but not really because there aren't any bonus points, and also this is a practice midterm*) The matrices  $(g_{ij})^{-1}$  and  $(L_{ij})$  are symmetric; how does it happen that  $(L_j^i)$  is not symmetric?

**Solution:** The short answer is that this is how transposes work. A product of symmetric matrices need not be symmetric, if the matrices don't commute:  $(AB)^T = B^T A^T = BA$ , if  $A$  and  $B$  are symmetric, but this doesn't have to equal  $AB$ .

4. (a) (3 points) Let  $\{\vec{T}(s), \vec{S}(s), \vec{n}(s)\}$  be the Darboux frame of a unit-speed surface curve. Prove that  $\frac{d}{ds}\vec{T}(s)$  lies in the plane spanned by  $\vec{S}(s)$  and  $\vec{n}(s)$ .

**Solution:** Because the Darboux frame gives an orthonormal basis,  $\vec{S}$  and  $\vec{n}$  form a basis for the plane perpendicular to  $\vec{T}$ . At the same time,  $\langle \vec{T}, \vec{T} \rangle \equiv 1$ , so we can take the  $s$ -derivative and use the chain rule to find that  $2\langle \frac{d}{ds}\vec{T}, \vec{T} \rangle \equiv 0$ . So  $\frac{d}{ds}\vec{T}$  is everywhere perpendicular to  $\vec{T}$ , and thus lies in the plane spanned by  $\vec{S}$  and  $\vec{n}$ .

- (b) (2 points) The following equation<sup>†</sup> tells us why we care about the Christoffel symbols  $\Gamma_{ij}^k$ :

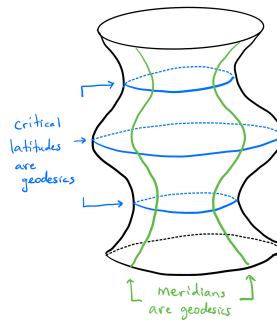
$$\vec{x}_{ij} = L_{ij} \vec{n} + \sum_{k=1}^2 \Gamma_{ij}^k \vec{x}_k.$$

Interpret this equation by writing a sentence that begins with, “The Christoffel symbols tell us...”

**Solution:** The Christoffel symbols tell us **the tangential components of the second derivatives  $\vec{x}_{ij}$** .

- (c) (3 points) Sketch a surface of revolution for which exactly three latitudes are geodesics. Identify these geodesics, and sketch at least two additional geodesics.

**Solution:**

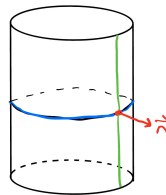


- (d) (2 points) Sketch a surface  $\mathcal{S}$  and choose a point  $p \in \mathcal{S}$  such that the Weingarten map can be represented by the matrix

$$\begin{pmatrix} -1 & 0 \\ 0 & 0 \end{pmatrix}.$$

Indicate the point  $p$  that you’ve chosen, and **draw the surface normal  $\vec{n}$  at this point**. You don’t have to justify your sketch, but you are welcome to add words to explain what you’ve drawn.

**Solution:**



<sup>†</sup>Notice that this equation is *not* on your formula sheet. It also won’t be on the formula sheet for the real midterm.