

# Math 4441 Midterm 1

September 30, 2022

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gtID: \_\_\_\_\_

**Instructions.** Read each question carefully and show all your work. Answers without justification will receive little to no credit. Writing your answers in a legible, well-organized manner will maximize your opportunities for partial credit.

This is a closed-note, closed-book exam, and you are expected to abide by the Georgia Tech Honor Challenge. Good luck!

**Clearly label any extra papers you want graded.**

By signing below, I certify that all work submitted on this exam is my own, and that I have neither given nor received any unauthorized help on this exam.

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Question	Points	Score
1	10	
2	10	
3	10	
4	10	
Total:	40	

## Formulas

- Tangent line:  $\vec{\ell}(\lambda) := \vec{\alpha}(t_0) + \lambda \vec{T}(t_0)$
- Arc length:  $\int_a^b \|\vec{\alpha}'(t)\| dt$
- Magnitude:  $\|\vec{v}\| := \sqrt{\vec{v} \cdot \vec{v}}$
- Angle:  $\angle(\vec{v}, \vec{w}) := \cos^{-1} \left( \frac{\vec{v} \cdot \vec{w}}{\|\vec{v}\| \cdot \|\vec{w}\|} \right)$
- Cauchy-Schwarz:  $|\langle \vec{v}, \vec{w} \rangle| \leq \|\vec{v}\| \cdot \|\vec{w}\|$ , with equality iff  $\vec{v} \parallel \vec{w}$ .
- Curvature:  $\kappa(s) := \left\| \frac{d}{ds} \vec{T}(s) \right\|$
- For  $\vec{\alpha}$  unit-speed, with  $\kappa(s) \neq 0$ ,  $\vec{B} := \vec{T} \times \vec{N}$ .
- Torsion:  $\tau(s) := -\langle \vec{B}'(s), \vec{N}(s) \rangle$
- For  $\vec{\alpha}$  unit-speed, with  $\kappa(s) \neq 0$ :

$$\begin{pmatrix} \vec{T}'(s) \\ \vec{N}'(s) \\ \vec{B}'(s) \end{pmatrix} = \begin{pmatrix} 0 & \kappa(s) & 0 \\ -\kappa(s) & 0 & \tau(s) \\ 0 & -\tau(s) & 0 \end{pmatrix} \begin{pmatrix} \vec{T}(s) \\ \vec{N}(s) \\ \vec{B}(s) \end{pmatrix}.$$

- For  $\vec{\alpha}$  regular,

$$\kappa(t) = \frac{\|\vec{\alpha}'(t) \times \vec{\alpha}''(t)\|}{\|\vec{\alpha}'(t)\|^3} \quad \text{and} \quad \tau(t) = \frac{\langle \vec{\alpha}'(t) \times \vec{\alpha}''(t), \vec{\alpha}'''(t) \rangle}{\|\vec{\alpha}'(t) \times \vec{\alpha}''(t)\|^2}.$$

- For  $\vec{\alpha}$  planar and unit-speed,  $k(s) := \langle \frac{d}{ds} \vec{t}(s), \vec{n}(s) \rangle$ .
- For  $\vec{\alpha}$  planar and regular,

$$k(t) = \frac{\langle \vec{\alpha}''(t), J(\vec{\alpha}'(t)) \rangle}{\|\vec{\alpha}'(t)\|^3} = \frac{x'(t)y''(t) - x''(t)y'(t)}{((x'(t))^2 + (y'(t))^2)^{3/2}}.$$

- If  $k(t) \neq 0$ ,  $\vec{\varepsilon}(t) = \vec{\alpha}'(t) + \frac{1}{k(t)} \vec{n}(t)$ .
- $\int_{\vec{\alpha}} f dx + g dy := \int_a^b \langle f(\vec{\alpha}(t)), g(\vec{\alpha}(t)) \rangle \cdot \vec{\alpha}'(t) dt$
- If  $\vec{\alpha}$  is regular, bounds a region  $\mathcal{R} \subset \mathbb{R}^2$ , and is oriented counter-clockwise, then

$$\oint_{\vec{\alpha}} f dx + g dy = \iint_{\mathcal{R}} \left( \frac{\partial g}{\partial x} - \frac{\partial f}{\partial y} \right) dA.$$

- Angular rotation function:  $\theta(s) := \theta_0 + \int_0^s k(u) du$ .

- Rotation index:  $i_{\vec{\alpha}} := \frac{\theta(L) - \theta(0)}{2\pi}$ .

1. Let  $\vec{\alpha}(t) = (e^t \cos t, e^t \sin t, 0)$ , for  $t \in (-\infty, \infty)$ .

(a) (3 points) Verify that  $\vec{\alpha}$  is regular.

**Solution:** We have

$$\vec{\alpha}'(t) = (e^t \cos t - e^t \sin t, e^t \sin t + e^t \cos t, 0),$$

so

$$\begin{aligned} \|\vec{\alpha}'(t)\|^2 &= \langle \vec{\alpha}'(t), \vec{\alpha}'(t) \rangle \\ &= (e^t \cos t - e^t \sin t)^2 + (e^t \sin t + e^t \cos t)^2 \\ &= 2e^{2t}. \end{aligned}$$

It follows that  $\|\vec{\alpha}'(t)\| = \sqrt{2}e^t > 0$ , for all  $t \in (-\infty, \infty)$ . So  $\vec{\alpha}$  is regular.

(b) (3 points) Let  $\vec{\beta}(u) = (u \cos(\ln u), u \sin(\ln u), 0)$ , for  $u \in (0, \infty)$ . Is  $\vec{\beta}$  a reparametrization of  $\vec{\alpha}$ ? Why or why not?

*Note: Remember that  $\vec{\beta}$  is a reparametrization of  $\vec{\alpha}$  if we can write  $\vec{\beta} = \vec{\alpha} \circ g$ , for some  $C^k$  reparametrization  $g$ . For this problem, take  $k = 1$ .*

**Solution:** Notice that  $\vec{\beta}(u) = \vec{\alpha}(\ln u)$ , so this is a matter of determining whether or not the function  $g: (0, \infty) \rightarrow (-\infty, \infty)$  defined by  $g(u) := \ln u$  is a  $C^1$ -reparametrization. Indeed,  $g$  is a bijection, with  $g^{-1}(t) = e^t$ . Since  $g'(u) = 1/u$  and  $(g^{-1})'(t) = e^t$  are continuous on their respective domains,  $g$  and  $g^{-1}$  are  $C^1$ , so  $g$  is a reparametrization.

(c) (4 points) Prove that the angle between  $\vec{\alpha}$  and  $\vec{T}$  is constant.

*Note: This part is treating  $\vec{\alpha}$  as a vector based at  $\vec{0}$ , and does not use part (b).*

**Solution:** The angle between  $\vec{\alpha}$  and  $\vec{T}$  is the same as the angle between  $\vec{\alpha}$  and  $\vec{\alpha}'$ , since angles don't care about magnitudes. In particular, we're concerned about the angle given by

$$\cos^{-1} \left( \frac{\langle \vec{\alpha}(t), \vec{\alpha}'(t) \rangle}{\|\vec{\alpha}(t)\| \|\vec{\alpha}'(t)\|} \right).$$

We've already computed  $\vec{\alpha}'(t)$  and  $\|\vec{\alpha}'(t)\|$ . Notice that

$$\langle \vec{\alpha}(t), \vec{\alpha}'(t) \rangle = e^{2t} \cos^2 t - e^{2t} \cos t \sin t + e^{2t} \sin^2 t + e^{2t} \cos t \sin t = e^{2t}$$

and

$$\|\vec{\alpha}(t)\| = \sqrt{e^{2t} \cos^2 t + e^{2t} \sin^2 t} = \sqrt{e^{2t}} = e^t.$$

We previously computed that  $\|\vec{\alpha}'(t)\| = \sqrt{2}e^t$ , so

$$\cos^{-1} \left( \frac{\langle \vec{\alpha}(t), \vec{\alpha}'(t) \rangle}{\|\vec{\alpha}(t)\| \|\vec{\alpha}'(t)\|} \right) = \cos^{-1} \left( \frac{e^{2t}}{\sqrt{2}e^{2t}} \right) = \cos^{-1} \left( \frac{1}{\sqrt{2}} \right) = \frac{\pi}{4}.$$

Notice that this angle is independent of  $t$ , as desired. (You didn't have to compute the exact angle to complete this problem, provided you showed that the angle is constant.)

2. Let  $\vec{\alpha}(s)$  be an arbitrary unit-speed curve in  $\mathbb{R}^3$  with nonvanishing curvature  $\kappa(s) > 0$ . The goal of this problem is to identify the **Darboux vector** for  $\vec{\alpha}$ , which is the unique vector  $\vec{\omega}(s)$  satisfying

$$\vec{T}' = \vec{\omega} \times \vec{T}, \quad \vec{N}' = \vec{\omega} \times \vec{N}, \quad \text{and} \quad \vec{B}' = \vec{\omega} \times \vec{B}, \quad (1)$$

for all  $s$  in the domain of  $\vec{\alpha}$ .

- (a) (6 points) Derive a formula for  $\vec{\omega}$  in terms of the Frenet frame. That is, identify functions  $a(s)$ ,  $b(s)$ , and  $c(s)$  for which

$$\vec{\omega}(s) = a(s)\vec{T}(s) + b(s)\vec{N}(s) + c(s)\vec{B}(s).$$

Show the steps of your derivation, and **box your formula for  $\vec{\omega}$** .

*Disclaimer: In retrospect, I wish I'd given more of a hint for how to start this one, because our proof of the Frenet-Serret equations makes it really natural to write an expansion of  $\vec{\omega}$  using inner products and then try to find those inner products. That approach works, but is messier than intended. I'll keep this in mind if/when a curve is set at the end of the term.*

**Solution:** The equation  $\vec{T}' = \vec{\omega} \times \vec{T}$  tells us that

$$\begin{aligned} \vec{T}'(s) &= a(s)(\vec{T}(s) \times \vec{T}(s)) + b(s)(\vec{N}(s) \times \vec{T}(s)) + c(s)(\vec{B}(s) \times \vec{T}(s)) \\ &= -b(s)\vec{B}(s) + c(s)\vec{N}(s). \end{aligned}$$

On the other hand, the Frenet-Serret equations tell us that  $\vec{T}'(s) = \kappa(s)\vec{N}(s)$ , so this suggests that we take  $c(s) = \kappa(s)$  and  $b(s) = 0$ . From the equation  $\vec{N}' = \vec{\omega} \times \vec{N}$  we have

$$\begin{aligned} \vec{N}'(s) &= a(s)(\vec{T}(s) \times \vec{N}(s)) + b(s)(\vec{N}(s) \times \vec{N}(s)) + c(s)(\vec{B}(s) \times \vec{N}(s)) \\ &= a(s)\vec{B}(s) - c(s)\vec{T}(s). \end{aligned}$$

This time the Frenet-Serret equations give us  $\vec{N}'(s) = -\kappa(s)\vec{T}(s) + \tau(s)\vec{B}(s)$ , again suggesting that  $c(s) = \kappa(s)$ , and also tells us to set  $a(s) = \tau(s)$ . So we propose

$$\boxed{\vec{\omega}(s) := \tau(s)\vec{T}(s) + \kappa(s)\vec{B}(s)}$$

as the formula for  $\vec{\omega}$ .

- (b) (4 points) Carefully check that the vector  $\vec{\omega}$  defined by your formula satisfies the three equations listed in Equation (1).

**Solution:** We take  $\vec{\omega}$  as defined above. Then

$$\begin{aligned} \vec{\omega} \times \vec{T} &= \tau(\vec{T} \times \vec{T}) + \kappa(\vec{B} \times \vec{T}) = \kappa\vec{N} = \vec{T}' \\ \vec{\omega} \times \vec{N} &= \tau(\vec{T} \times \vec{N}) + \kappa(\vec{B} \times \vec{N}) = -\kappa\vec{T} + \tau\vec{B} = \vec{N}' \\ \vec{\omega} \times \vec{B} &= \tau(\vec{T} \times \vec{B}) + \kappa(\vec{B} \times \vec{B}) = -\tau\vec{N} = \vec{B}', \end{aligned}$$

as desired. The last line of each equation uses the Frenet-Serret equations.

*Hint: Use the Frenet-Serret equations throughout.*

3. Let  $\vec{\alpha}$  be a regular, planar, simple, closed, unit-speed curve. Suppose there is a constant  $c$  such that the planar curvature  $k(s)$  of  $\vec{\alpha}$  satisfies

$$0 \leq k(s) \leq c, \quad (2)$$

for all  $s$ .

- (a) (4 points) The inequality (2) ensures that  $\vec{\alpha}$  has an important global property. What is this property? (Write a complete sentence which cites a theorem we proved.)

**Solution:** The inequality tells us that the planar curvature of  $\vec{\alpha}$  has constant sign. Because  $\vec{\alpha}$  is simple and closed, the theorem we proved in Activity 4 tells us that  $\vec{\alpha}$  is **convex**.

- (b) (6 points) Prove that  $\text{length}(\vec{\alpha}) \geq 2\pi/c$ .

*Hint: Use the rotation index theorem.*

**Solution:** Let  $L$  denote the length of  $\vec{\alpha}$ . Because  $\vec{\alpha}$  is simple and closed, the rotation index theorem tells us that

$$\int_{\vec{\alpha}} k(s) ds = \pm 2\pi.$$

Because  $k(s) \geq 0$ , the right hand side must in fact be positive  $2\pi$ . At the same time,

$$\int_{\vec{\alpha}} k(s) ds = \int_0^L k(s) ds \leq \int_0^L c ds = cL.$$

So we see that  $cL \geq 2\pi$ , and thus  $L \geq 2\pi/c$ .

4. (a) (3 points) Consider the following maps  $f, g: \mathbb{R}^3 \rightarrow \mathbb{R}^3$ :

$$f(\vec{x}) := \begin{pmatrix} 0 & 0 & 1 \\ 2 & 0 & 0 \\ 0 & 1/2 & 0 \end{pmatrix} \vec{x} + \begin{pmatrix} 2 \\ -3 \\ 4 \end{pmatrix}, \quad g(\vec{x}) := \begin{pmatrix} 1/\sqrt{2} & 0 & 1/\sqrt{2} \\ 0 & 1 & 0 \\ -1/\sqrt{2} & 0 & 1/\sqrt{2} \end{pmatrix} \vec{x} + \begin{pmatrix} 5 \\ 1 \\ -7 \end{pmatrix}.$$

Exactly one of these is an isometry; which one is it? Justify your response.

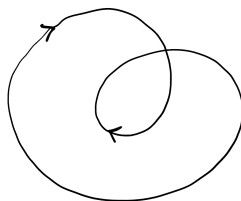
**Solution:** Each of these maps has the form  $\vec{x} \mapsto A\vec{x} + \vec{c}$  for some constant matrix  $A$  and constant vector  $\vec{c}$ . But to be an isometry,  $A$  must be an orthogonal matrix, which is equivalent to the columns of  $A$  forming an orthonormal basis for  $\mathbb{R}^3$ . This is true of  $g$ , but not  $f$ . So  $g$  is the isometry.

- (b) (2 points) Consider the ellipse  $x^2 + 9y^2 = 9$ . Which point(s) on the ellipse has the smallest osculating circle? Which point(s) has the largest osculating circle? (No computation required, but explain your reasoning, possibly supported with a figure.)

**Solution:** The points  $(\pm 3, 0)$  have the largest curvature, and thus the smallest osculating circles; the points  $(0, \pm 1)$  have the smallest curvature, and thus the largest osculating circles.

- (c) (2 points) Draw an oriented, closed, planar curve with rotation index  $-2$ . No justification needed.

**Solution:**



- (d) (3 points) Let  $\vec{\alpha}(t) = (x(t), y(t))$  be a closed, regular, planar curve, oriented counterclockwise. Use Green's theorem to prove that

$$A = \oint_{\vec{\alpha}} x \, dy,$$

where  $A$  is the area enclosed by  $\vec{\alpha}$ .

**Solution:** According to Green's theorem (as stated on the formula sheet above),

$$\oint_{\vec{\alpha}} x \, dy = \iint_{\mathcal{R}} \left( \frac{\partial}{\partial x}(x) - 0 \right) dA = \iint_{\mathcal{R}} 1 \, dA = A.$$